

4-2501

05-08-2001

Attorney Docket No. ADPT1048

FORM PTO-1619A
Expires 08/30/99
OMB 0651-0027

101705148

U.S. Department of Commerce
Patent and Trademark Office
PATENT1033 U.S. PTO
09/842750
04/25/01RECORDATION FORM COVER SHEET
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)
Document ID # _____
- ☐ Correction of PTO Error
Reel # _____ Frame # _____
- ☐ Corrective Document
Reel # _____ Frame # _____

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other 09842750

U.S. Government
(For Use ONLY by U.S. Government Agencies)
☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attachedName (line 1) B. Arlen Young

Name (line 2) _____

RECEIVED

Execution Date
Month Day Year
04 20 2001

Second Party

Name (line 1) _____

Name (line 2) _____

OCT 09 2003

Technology Center 2100

Execution Date
Month Day Year

Receiving Party

☐ Mark if additional names of receiving parties attachedName (line 1) Adaptec, Inc.Name (line 2) a corporation of DelawareAddress (line 1) 691 South Milpitas Blvd.

Address (line 2) _____

Address (line 3) Milpitas

CA

95035

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for first Receiving Party only.

Name _____

Address (line 1) _____

Address (line 2) _____

Address (line 3) _____

Address (line 4) _____

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

04/30/2001 HN00R1 00000025 09842750

04 FC:581

40.00 DP

Best Available Copy

COPY

FORM PTO-1619B

Expires 06/30/99
OMB 0651-0027

Page 2

U.S. Department of Commerce
Patent and Trademark Office
PATENT**Correspondent Name and Address**

Name Forrest Gunnison Area Code and Telephone Number (831) 655-0880 ext. 15

Address (line 1) Gunnison, McKay & Hodgson, L.L.P.

Address (line 2) Garden West Office Plaza, Suite 220

Address (line 3) 1900 Garden Road

Address (line 4) Monterey, CA 93940

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

1**Application Number(s) or Patent Number(s)**☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)			Patent Numbers		
<u>Attorney Docket No. ADPT1048</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month	Day	Year
<u>04</u>	<u>20</u>	<u>2001</u>

Patent Cooperation Treaty (PCT)Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT <u> </u>	PCT <u> </u>	PCT <u> </u>
PCT <u> </u>	PCT <u> </u>	PCT <u> </u>

Number of Properties

Enter the total number of properties involved.

1**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00Method of Payment:
Deposit AccountEnclosed ☒Deposit Account ☐

(Enter for payment by deposit account of if additional fees can be charged to the account.)

Deposit Account Number:

50-0553

Authorization to charge additional fees:

Yes ☒ No ☐**Statement and Signature***To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*Forrest Gunnison

Name of Person Signing



Signature

April 25, 2001

Date

COPY

ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, I

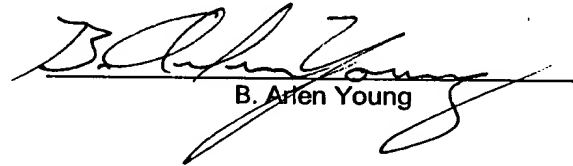
B. Arlen Young of 250 Scripps Court, Palo Alto, CA 94306

hereby sell, assign and transfer to Adaptec, Inc., a Delaware corporation having a place of business at 691 South Milpitas Blvd., Milpitas, CA 95035 its successors and assigns, the entire right, title and interest throughout the world in my invention in

A Method And Structure For Automatic SCSI Command Delivery Using The
Packetized SCSI Protocol

for which I have executed a United States patent application on or about the date of this assignment, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; I authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from me; I agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, its successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and I request the Commissioner of Patents and Trademarks to issue all patents granted for said invention to the above-named assignee, its successors and assigns.

Executed this 20 day of APRIL, 2001.


B. Arlen Young

COPY



RECEIVED

OCT 09 2003

Patent Assignment Abstract of Title Technology Center 2100

NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

Total Assignments: 1

Patent #: 6477165 **Issue Dt:** 11/05/2002 **Application #:** 09097899 **Filing Dt:** 06/16/1998

Inventor: MICHAEL T. KOSCO

Title: BROADCAST COMMAND PACKET PROTOCOL FOR SCSI INTERFACE

Assignment: 1

Reel/Frame: 009380/0469

Recorded: 08/03/1998

Pages: 2

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: KOSCO, MICHAEL T.

Exec Dt: 07/30/1998

Assignee: ADAPTEC, INC.

691 SOUTH MILPITAS BOULEVARD
MILPITAS, CALIFORNIA 95035

Correspondent: CHRISTIE, PARKER & HALE, LLP

JOHN W. ELDREDGE
P.O. BOX 7068
PASADENA, CALIFORNIA 91109-7068

Search Results as of: 9/25/2003 11:47:17 AM

If you have any comments or questions concerning the data displayed, contact OPK7 Assignments at 703-308-9723
Web interface last modified: Oct. 5, 2002

COPY

08-18-1998

Docket No. 32225/JWE/A487



(EET

100795715

Box Assignment
Commissioner of Patents and Trademarks
Washington, D.C. 20231

Post Office Box 7068
Pasadena, CA 91109-7068

Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof:

1. Name of conveying party(ies): Michael T. Kosco Additional name(s) of conveying party(ies) attached: No		2. Name and address of receiving party(ies): Name: Adaptec, Inc. Street Address: 691 South Milpitas Boulevard, Milpitas, California 95035	
3. Name of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: July 30, 1998		Additional name(s) & address(es) attached? No	
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:			
A. Patent Application No.(s) 09/097,899		B. Patent No.(s)	
Additional numbers attached? No			
5. Please return the recorded document and address all correspondence to: CHRISTIE, PARKER & HALE, LLP P.O. Box 7068 Pasadena, CA 91109-7068 John W. Eldredge 09/097,899		6. Total number of applications and patents involved.....	
7. <input checked="" type="checkbox"/> Total fee enclosed (37 CFR 3.11): \$ 40.00		8. <input checked="" type="checkbox"/> Any deficiency or overpayment of fees should be charged or credited to Deposit Account No. 03-1728, except for payment of issue fees required under 37 CFR § 1.18. Please show our check number with any credit or charge to our Deposit Account	
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Date: August 3, 1998 By: <u>John W. Eldredge</u> Name: John W. Eldredge 626/795-9900; 213/681-1800 I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231. en <u>August 3, 1998</u> <u>8-3-98</u> (DATE SIGNED) <u>Mexaine Parth...</u>		10. <input type="checkbox"/> Explanatory letter is enclosed.	
Total number of pages including cover sheet, attachments, and document:			

JWE/mg

MURKIN 1-1-8/1998 (11) en

PATENT
REEL: 9380 FRAME: 0469

COPY

ASSIGNMENT

WHEREAS, I, MICHAEL T. KOSCO, residing at 210 40th Street, Newport Beach, California 92663, have invented certain new and useful improvements disclosed in an application for United States Letters Patent entitled **BROADCAST COMMAND PACKET PROTOCOL FOR SCSI INTERFACE**, filed June 16, 1998 Application No. 09/097,899.

AND WHEREAS ADAPTEC, INC., a State of Delaware corporation, having a place of business at 691 South Milpitas Boulevard, Milpitas, California 95035 (hereafter, together with any successors, legal representatives or assigns thereof, called "ASSIGNEE") wants to acquire the entire right, title and interest in and to said improvements and application.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I have sold, assigned, transferred and set over, and do hereby sell, assign, transfer and set over to ASSIGNEE the entire right, title and interest in and to said improvements, and said application and all divisions and continuations thereof, and all United States Letters Patents which may be granted thereon and all reissues, reexaminations and extensions thereof, and all priority rights under all available International Agreements, Treaties and Conventions for the protection of intellectual property in its various forms in every participating country, and all applications for patents (including related rights such as utility-model registrations, inventor's certificates and the like) heretofore or hereafter filed for said improvements in any foreign countries, and all patents (including all continuations, divisions, extensions, renewals, substitutes, and reissues thereof) granted for said improvements in any foreign countries; and I hereby authorize and request the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all patents for said improvements to ASSIGNEE in accordance with the terms of this Assignment;

AND I HEREBY covenant that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

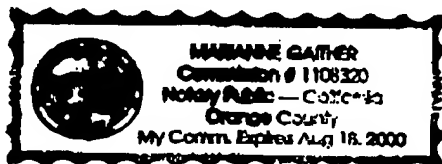
AND I HEREBY further covenant and agree that I will communicate to ASSIGNEE any facts known to me respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute and reissue applications, make all rightful oaths and generally do everything possible to aid ASSIGNEE to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand this 17th day of July, 1998.

Michael T. Kosco
Michael T. Kosco

STATE OF California
COUNTY OF Orange

On July 30, 1998, before me Marianne Gaither, Notary Public, personally appeared Michael T. Kosco personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



JWE/mg
MG RV14589 1-1-77 1/98 4 49 pm

WITNESS my hand and official seal.

Marianne Gaither
Signature of Notary

RECORDED: 08/03/1998

PATENT
REEL: 9380 FRAME: 0470

COPY

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☒ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.